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| TO: | c/o Examiner TRAN, MINH LOAN | FROM: | DAVID J. KENEALY Cermak & Kenealy LLP |
|-----------|------------------------------|----------|--|
| FAX: | 703.872.9306 | REF. NO: | ST3001-0066 |
| VOICE: | 571.272.1922 | PAGES | (incl. this sheet) 52 |
| App. No.: | 10/662,374 | DATE: | July 11, 2005 |

The undersigned hereby certifies that the following document(s) is (are) being transmitted by telefacsimile to the United States Patent and Trademark Office at the above "FAX" number, on the above DATE.

- 1) This facsimile Certification cover sheet (1 pg.)
- 2) Amendment Transmittal with petition for two month extension of time (3 pgs.)
- 3) Amendment After Final (17 pgs.)
- 4) Substitute Specification (15 pgs.)
- 5) Marked-Up Copy of Specification (15 pgs.)
- 6) PTO-2038 (1 pg)

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Patent

Attorney's Docket No. ST3001-0066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of Yasushi YATSUDA et al. Application No.: 10/662,374 Application No.: 10/662,374 Filed: September 16, 2003 For: LED LAMP FOR LIGHT SOURCE OF A HEADLAMP Commissioner for Patents MAIL STOP AF Alexandria, Virginia 22313 Sir: AMENDMENT TRANSMITTAL FORM 1. Transmitted herewith is an Amendment After Final responding to the Office Action dated February 10, 2005, the period for response being extended by a two month extension of time and petition therefore filed herewith. 2. Additional papers enclosed: [] Notice of Appeal [] Information Disclosure Statement [] Form PTO-1449, eleven references included [X] Substitute Specification [X] Marked up Copy of Substitute Specification to show changes made [] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. 87/12/2005 TL0111 880000846 1066 | | • | | | | |
|---|--|---|------------------------------|---|----------------------------|-----------|
| Application No.: 10/662,374 | In re Patent Application of | |) MAIL | STOP AF | | • |
| Filed: September 16, 2003 For: LED LAMP FOR LIGHT SOURCE OF A HEADLAMP Commissioner for Patents MAIL STOP AF Alexandria, Virginia 22313 Sir: AMENDMENT TRANSMITTAL FORM 1. Transmitted herewith is an Amendment After Final responding to the Office Action dated February 10, 2005, the period for response being extended by a two month extension of time and petition therefore filed herewith. 2. Additional papers enclosed: [] Notice of Appeal [] Information Disclosure Statement [] Form PTO-1449, eleven references included [X] Substitute Specification [X] Marked up Copy of Substitute Specification to show changes made [] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. 87/12/2805 TL0111 888888846 1856 | Yasushi YATSUDA et al. | |) Group A | Art Unit: 2826 | | |
| For: LED LAMP FOR LIGHT SOURCE OF A HEADLAMP Commissioner for Patents MAIL STOP AF Alexandria, Virginia 22313 Sir: AMENDMENT TRANSMITTAL FORM Transmitted herewith is an Amendment After Final responding to the Office Action dated February 10, 2005, the period for response being extended by a two month extension of time and petition therefore filed herewith. Additional papers enclosed: Notice of Appeal Information Disclosure Statement Form PTO-1449, eleven references included X Substitute Specification X Marked up Copy of Substitute Specification to show changes made Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. | Application No.: 10/662,374 | ; |) Examin | ner: Minh Loan TR. | AN | |
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| [] Notice of Appeal [] Information Disclosure Statement [] Form PTO-1449, eleven references included [X] Substitute Specification [X] Marked up Copy of Substitute Specification to show changes made [] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. | February 10, 2005, the p | iod for response being | inal respond g extended b | ling to the Office Ac by a two month exter | tion dated nsion of tim | ie |
| -000010 TBDV | [] Notice of Appeal [] Information Disc [] Form PTO-1449 [X] Substitute Specif [X] Marked up Copy [] Submission of "Samendment pertagnation of the second control of the sec | sure Statement eleven references inclustion f Substitute Specificat quence Listing", comp ing thereto for biotech | tion to show puter readab | ole copy and/or | | |
| 01 FC:1252 | | | | 07/12/2005 TL0111 | 00000046 | 18662374 |
| | | | | 01 FC:1252 | | 450.00 Op |

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3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- [] Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- [X] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR § 1.17(a), for the total number of months checked below:

| Total Months Requested | Fee for Extension | [Fee for Small Entity] |
|------------------------|----------------------|---------------------------|
| [] one month | \$ 120.00 | \$ 60.00 |
| [X] two months | \$ 450.00 | \$225.00 |
| [] three months | \$ 1020.00 | \$510.00 |
| [] four months | \$1,590.00 | \$795.00 |

Extension of time fee due with this request: \$ 450.00

If an additional extension of time is required, please consider this a Petition therefor.

| [] | An extension for | month has already | been secured | and the fee | paid therefor | of \$ | is |
|-----|---------------------|----------------------|-----------------|--------------|---------------|-------|----|
| | deducted from the t | otal fee due for the | total months of | of extension | now requeste | ed. | |

4. Constructive Petition

[X] EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-2821. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

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5. Fee Calculation (37 C.F.R. §1.16)

| | | Cl | AIMS AS AMENDE | D | | | |
|---|---|--------------|--------------------------------|------------------|--------------|------------|--|
| | Claims Remaining After Amendment | | Highest No. Previously Paid | Present Extra | at Rate of | Total Fees | |
| Total Claims (37 C.F.R. §1.16(c)) | 0 | x \$18 each= | +\$ | | | | |
| Independent Claims (37 | 1 | Minus | 3 | 0 | x \$88 each= | +\$ | |
| | C.F.R.§1.16(b)) | | | | | | |
| SUB-TOTAL = | | | | | | | |
| Reduction by ½ for filing by a small entity | | | | | | | |
| TOTAL FEE = | | | | | | | |

| 6. | Fee | Paym | ent |
|----|-----|------|-----|
| | | | |

| ſÌ | No t | fee i | is to | be | paid | at | this | time. |
|----|------|-------|-------|----|------|----|------|-------|
|----|------|-------|-------|----|------|----|------|-------|

[X] Please charge the credit account identified in the attached PTO-2038.

| [] | Check in the amount of | for a | | The Commissioner is hereby authorized to |
|----|-----------------------------|--------------|-----|---|
| | charge any additional exten | sion of time | fee | or additional fee for claims due to Deposit |
| | Account No. 50-0310 | | | <u>-</u> |

Date: July 11, 2005

Customer Number 39083

Cermak & Kenealy LLP 515 E. Braddock Rd, Suite B Alexandria, VA 22314 703.778.6610 (v) 703.652.5101 (f) Respectfully submitted,

Reg. No. 40,411

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| Application No.: 10/662,374 |) Examiner: Minh Loan TRAN |
| Filed: September 16, 2003 |) Confirmation No.: 9407 |
| For: LED LAMP FOR LIGHT SOURCE OF A HEADLAMP |)) |
| | |

Commissioner for Patents MAIL STOP AF Alexandria, Virginia 22313

Sir:

In response to the Final Office Action dated February 10, 2005, which set a three-month shortened statutory period of response thereto, Applicant requests entry of the following amendments to the specification and claims, and reconsideration of the above-captioned patent application as follows. A Petition for a two-month extension of time under 37 C.F.R. § 1.136(a), and the fee specified in 37 C.F.R. § 1.17(a), are filed concurrently herewith.

Amendments to the Specification begin at page 2 of this paper.

Amendments to the Abstract begin at page 12 of this paper.

Amendments to the Claims begin at page 13 of this paper.

Remarks begin at page 15 of this paper.